

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

LARP

1111698  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
10/7/2021 3:43:13 PM  
Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** LPL EMPLOYEE SERVICES, LLC  
**Company ID:** 1111698  
**State of origin:** Delaware  
**Formation date:** 9/4/2020 12:00:00 AM  
**Date filed:** 10/7/2021 3:43:13 PM  
**Fee:** \$15.00

**Principal Office**

75 STATE STREET  
22ND FLOOR  
BOSTON, MA 02109

**Registered Agent Name/Address**

CT CORPORATION SYSTEM  
306 W MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

Member LPL Holdings, Inc. 4707 Executive Drive, San Diego, CA 92121

**Signatures**

**Signature** Natalie Pickens  
**Title** power of attorney